

## ANTIMICROBIAL POLYURETHANE FILMS

### Field of the Invention

This invention relates to polyurethane films containing certain silver-based antimicrobial formulations therein. Such formulations comprise antimicrobial compounds, such as, preferably silver-containing ion-exchange resins, such as zirconium phosphate, glass, or zeolite compounds. The inventive films exhibit excellent antimicrobial qualities as well as surprisingly good color characteristics. As a result, antimicrobial films are provided which may be transparent or easily colored without the production of undesirable browning and/or yellowing within the target film.

### Discussion of the Prior Art

All U.S. Patents listed below are herein entirely incorporated by reference.

There has been a great deal of attention in recent years given to the hazards of bacterial contamination from potential everyday exposure. Noteworthy examples of such concerns include the fatal consequences of food poisoning due to certain strains of *Eschericia coli* being found within undercooked beef in fast food restaurants; *Salmonella* contamination causing sicknesses from undercooked and unwashed poultry food products; and illnesses and skin infections attributed to *Staphylococcus aureus*, *Klebsiella pneumoniae*, yeast, and other unicellular organisms. With such an increased consumer interest in this area, manufacturers have begun introducing antimicrobial agents within various everyday products and articles. For instance, certain brands of polypropylene cutting boards, liquid soaps, etc., all contain antimicrobial compounds. The most popular

antimicrobial for such articles is triclosan. Although the incorporation of such a compound within liquid or certain polymeric media has been relatively simple, other substrates, including thin polyurethane films, have proven less accessible. Such compounds are highly desired for films to provide not only antimicrobial benefits, but also mildew and odor control properties. In particular, such films are highly desired for utilization as fabric coatings, food preserving articles [both to prevent introduction of pathogens within the protected food items (i.e., meat, for example) as well as to destroy any bacteria retained within the food package prior to and possibly during storage], and the like.

There thus remains a long-felt need to provide an effective, durable, reliable antimicrobial polyurethane film which provides such long-term effects. Of additional importance is the need to provide such specific films that facilitate, or, at the very least, permit removal from a roll of such film. As is well known and would be well appreciated by one of ordinary skill in this art, such films commonly are produced and then stored on a roll for the consumer to store in a relatively small area. Thus, easy unrolling of such a film is imperative for proper utilization at the consumer stage. Thus, such a desirable film must exhibit suitable anti-tack properties thereby permitting such necessary unrolling without an appreciable amount of adhesion between different rolled layers of the same polyurethane roll itself. In the past, such anti-tack properties have been provided through the incorporation of different compounds, such as talc, magnesium stearate, film surface finishes, and the like. However, such compounds do not provide antimicrobial characteristics as well.

Other antimicrobial films have been disclosed in the past; however, no incorporation of specific silver-based, inorganic antimicrobials (more particularly, though not necessarily, silver-based ion exchange compounds, such as zirconium phosphate salts, as one example) have been disclosed within the prior art. Other organic compounds (triclosan, for example) have been taught for such purposes, however, due to migration concerns and potential health issues with such organic based compounds and compositions, such antimicrobial agents are now avoided, particularly when in potential contact with human skin or items for human consumption.

Discoloration of the films themselves is to be avoided in order to provide a relatively clear storage article. Yellowing or browning are highly discouraged in this sense. The utilization of organic compounds in the past have presented certain potential problems with discoloration such that improvements in this area are highly desired as well.

Thus, there is a need to provide long-lasting antimicrobial polyurethane films that exhibit proper antimicrobial characteristics and likewise do not adhere in any appreciable amount to rolls made thereof (and thus facilitate unrolling for utilization by the end-user), and do not produce unwanted discolorations in any appreciable amount. Unfortunately, to date, no such particular polyurethane films, have been accorded the polyurethane film industry by the pertinent prior art.

### **Description of the Invention**

It is thus an object of the invention to provide a polyurethane film comprising silver-based inorganic antimicrobial throughout said film (i.e., the antimicrobial agent is extruded throughout the film and not simply topically applied). It is a further object of the

invention to provide a polyurethane film article exhibiting high antimicrobial activity as well as excellent low cohesive and/or adhesive properties, and simultaneously low levels of discoloration from the color of the film component itself. A further object is to provide such excellent low cohesive and/or adhesive properties without the need for high levels of surface coatings contacted with the target film surface, thereby resulting in significant reductions of finishing chemicals used and process steps performed.

Accordingly, this invention encompasses a polyurethane film comprising a silver-based inorganic antimicrobial compound in discrete areas of said film wherein at least some of said antimicrobial compound is present at the surface of said film and, optionally, at least some of said antimicrobial is present within said film. Furthermore, this invention also encompasses a storage article comprising at least layer of said inventive film. Additionally, the invention encompasses a polyurethane film comprising at least one silver-based inorganic antimicrobial compound wherein said film yarn exhibits a cohesive property with either itself or a different film of the same type of below about 150 grams, preferably below about 100 grams, more preferably below about 90 grams, still more preferably below about 75 grams, and most preferably below about 65 grams, as measured by a sliding block friction procedure (thereby exhibiting very low anti-tack properties). Also, this invention encompasses a polyurethane film as defined above, and exhibiting the aforementioned anti-tack characteristics without the presence of an appreciable amount of anti-tack surface agents thereon.

The silver-based inorganic antimicrobial compound of this invention may be any type which imparts the desired log kill rates discussed below to *Staphylococcus aureus* and *Klebsiella pneumoniae*. Furthermore, such compounds must be able to be

incorporated within the target polyurethane films thereby imparting the aforementioned anti-tack properties as well as, preferably low levels of discoloration therein. Thus, preferred, though non-limiting, silver-based antimicrobials for this invention include silver-based ion-exchange compounds, such as silver-based zirconium phosphates (available from Milliken & Company under the trade designation ALPHASAN®).

Although such compounds are preferred, others may be utilized or added in addition to the preferred types, including, again, without limitation, silver ions, elemental silver, silver-based zeolites, silver-based glasses, and any mixtures thereof. Again, most preferably, such a compound is a silver-based ion-exchange compound and particularly does not include any added organic bactericide compounds (thereby not permitting a release of volatile organic compounds into the atmosphere during processing at high temperatures, prevents migration, etc.). Other potentially preferred silver-containing solid inorganic antimicrobials in this invention are silver-substituted zeolites available from Sinanen under the tradename ZEOMIC®, or a silver-substituted glasses available from Ishizuka Glass under the tradename IONPURE®, may be utilized either in addition to or as a substitute for the preferred species. Other possible compounds, again without limitation, are silver-based materials such as MICROFREE®, available from DuPont, as well as JMAC®, available from Johnson Mathey. Generally, such a metal compound is added in an amount of from about 0.01 to 10% by total weight of the particular polyurethane films fibers; preferably from about 0.1 to about 5%; more preferably from about 0.1 to about 2%; and most preferably from about 0.5 to about 2.0%.

The term polyurethane films, as noted above, is intended to cover any standard polyurethane-type thin (from about 10 mils to about 500 mils in thickness) extruded sheets of polyurethane or polyurethane-containing thermoset or thermoplastic. Such films have been utilized for many years in the packaging industries and are generally produced from long-chain, synthetic polymers comprised of at least 85% of a segmented polyurethane, such as those based on polyethers or polyesters.

Such films should be well appreciated by the ordinarily skilled artisan as possessing at least a single-layer configuration. As such, as alluded to above, upon extrusion of the polyurethane with the desired antimicrobial, the target films will contain such antimicrobial compounds throughout their structures. In such an instance, at least a portion of the surface of any inventive film will exhibit some antimicrobial compounds, through production or treatment by various methods, including, without limitation, extrusion of the polyurethane with the antimicrobial therein, or possibly through the contacting of the antimicrobial to the surface (utilizing the tackiness of the film to adhere such compounds thereto) by themselves or with an adhesion agent (including such things as talc). The antimicrobial may also be present within the interior of such a film (by extrusion, for example). Thus, at least some antimicrobial compound must be present within the target inventive film as well. It is to be understood that such a definition does not require every interior portion of the target inventive film to exhibit such antimicrobial activity, only that such antimicrobial compounds are not limited in location to the surface.

The particular antimicrobial compound (or compounds as more than one type may be present) should exhibit an acceptable log kill rate after 24 hours in accordance with the Japanese Industrial Standard Z2801:2000, "Antimicrobial Products – Tests for

Antimicrobial Activity and Efficacy". Such an acceptable level log kill rate is tested for *Staphylococcus aureus* or *Klebsiella pneumoniae* of at least 0.1 increase over baseline. Alternatively, an acceptable level will exist if the log kill rate is greater than the log kill rate for non-treated (i.e., no solid inorganic antimicrobial added) films (such as about 0.5 log kill rate increase over control, antimicrobial-free films). Preferably these log kill rate baseline increases are at least 0.3 and 0.3, respectively for *S. aureus* and *K. pneumoniae*; more preferably these log kill rates are 0.5 and 0.5, respectively; and most preferably these are 1.0 and 1.0, respectively. Of course, the high end of such log kill rates are much higher than the baseline, on the magnitude of 5.0 (99.999% kill rate). Any rate in between is thus, of course, acceptable as well. However, log kill rates which are negative in number are also acceptable for this invention as long as such measurements are better than that recorded for correlated non-treated films. In such an instance, the antimicrobial material present within the film at least exhibits a hindrance to microbe growth.

As defined herein, the term "sliding block friction procedure" pertains to a test developed to determine the cohesive and adhesive nature of the target film. Basically, a rectangular block having a mass of about 114 g and a surface area on its bottom side of about 56.25 cm<sup>2</sup> (7.5 cm X 6.5 cm) was adhered to a film sample of about the same surface area as the bottom side of the block which, in turn, was contacted with a film sample of the same surface area. The block was then pulled by an attached string present in the middle of one of the sides of the block with the tension required to move the block (attached to the first film sample) from contact with the second film sample. The term "sliding block pull tension" thus is the tension required for the separation of the two film samples during such a procedure. As noted above, it is important to provide a substantially anti-tack film that is

easily removed from its roll storage article for utilization by the end-user. A sliding block pull tension of below about 150 grams is required to provide such low cohesive and/or adhesive characteristics for the inventive films with no anti-tack surface coatings or additives present. Lower levels are, of course, highly desired, with below 100 grams preferred, below 90 grams more preferred, below 75 grams still more preferred, and below 65 grams most preferred. Of course, even lower levels are also desired, if possible, without any additives or coating present.

Without intending to be limited to any specific scientific theory, it is believed that such anti-tack benefits are the result of antimicrobial particles present on the surface of the target polyurethane films. Such particles appear to extend outward from the film surface a distance sufficient to prevent repeated and continuous contact between polyurethane components of two separate films (or different portions of the same film). Such a benefit is best noted through the ability to drastically reduce, if not essentially eliminate, the need for the utilization of finish additives from the polyurethane production method. Surprisingly, it has been found that the utilization of certain antimicrobial particles (compounds) within polyurethane films provides not only desirable antimicrobial characteristics, but also excellent anti-tack properties. Thus, the utilization of such antimicrobial as taught within this invention permits a drastic reduction in the amount of surface additives required to provide such anti-tack properties. Furthermore, the utilization of such antimicrobial polyurethane films as now taught permits a reduction in the number of process steps required as well as potential effluent discharge during and after application of such surface finishes. The level of finish additives needed for anti-tack improvements can thus be drastically lowered.



The preferred embodiments of the inventive antimicrobial polyurethane films are discussed in greater detail below. Such inventive films at least comprise some polyurethane constituent (e.g., reaction product of isocyanate and polyol) and are preferably extruded, either through blowing or drawing techniques. The inventive films may also comprise blends of other plastics, including, without limitation, polyethers, polyesters, polyolefins, polyacrylics, and the like

### **Description of the Preferred Embodiments**

Examples of particularly preferred polyurethane films within the scope of the present invention are set forth below.

#### **Polyurethane Film Production**

Thermoplastic polyurethane (TPU) pellets (Pellethane 2103-70A) were obtained from Dow Plastics and mixed with 0.5%, 1.0%, and 2.0% of each antimicrobial additive. A control without any antimicrobial present was also produced with the same polyurethane content. The coated pellets were then dried in a vacuum oven at 105°F for 24 hours to remove any residual moisture. The pellets were then melt extruded into thin films (about 20 mils thick) through extrusion within a Killion 32:1 KLB-100 Tilt-N-Whirl Model outfitted with a film extrusion die-head with a die temperature setting of 450°F, a melt temperature of about 425°F, and an extrusion screw rate of about 67 rpm, and collected on a roll package.

The specific samples were made produced in accordance with the antimicrobial levels listed below in the Table.

**TABLE 1**

<u>Sample #</u>	<u>Antimicrobial Type and Amount (% by weight)</u>
1	ALPHASAN® RC-5000 (0.5%)
2	ALPHASAN® RC-5000 (1.0%)
3	ALPHASAN® RC-5000 (2.0%)
4	IONPURE® (0.5%)
5	IONPURE® (1.0%)
6	IONPURE® (2.0%)
7	ZEOMIC® (0.5%)
8	ZEOMIC® (1.0%)
9	ZEOMIC® (2.0%)
10 (Comparative)	Triclosan (0.5%)
11 (Comparative)	Triclosan (1.0%)
12 (Comparative)	Triclosan (2.0%)
Control	-----

Some of these samples were then tested for a number of different characteristics, as noted below:

**TABLE 2***Sliding Block Pull Tension Measurements*

<u>Example</u>	<u>Tension (in grams) by average</u>
2	57.2 g
5	63.5 g
Control (Comparative)	238.9 g

Thus, the inventive films exhibited much better low cohesive and/or adhesive properties than the control.

The Example films were also tested for discoloration (photoreduction) after exposure to typical indoor (fluorescent) light after 1 month of such storage. Of the silver-based antimicrobials, AlphaSan® clearly exhibited the best performance in this instance.

Thus, for this purpose AlphaSan®-type antimicrobials are most preferred. The triclosan exhibited excellent colorations as well; however, such an antimicrobial is highly water soluble and thus washes easily from the surface of the target film. Thus, for anti-tack, discoloration, and resiliency within and on the target polyurethane films, the AlphaSan® antimicrobials are, again , most preferred. The discoloration results are as follows, again with a non-antimicrobial control for comparison:

**TABLE 3**  
*Discoloration Determinations*

<u>Example</u>	<u>Resultant Color</u>
1	Off-White
2	Off-White
3	Off-White
4	Light Brown
5	Copper
6	Bronze
7	Copper
8	Copper
9	Light Copper
10(Comparative)	Off-White (greenish tint)
11(Comparative)	Off-White (greenish tint)
12(Comparative)	Off-White (greenish tint)
Control(Comparative)	Clear

Thus, the inventive sample films 1-3 were the best for this test, along with comparatives 10-12 and the control.

These Examples were also tested for antimicrobial activity in accordance with Japanese Industrial Standard Z2801:2000, “Antimicrobial Products – Tests for Antimicrobial Activity and Efficacy”, herein entirely incorporated by reference, for measuring log kill rates for *Klebsiella pneumoniae* after 24 hours exposure at room

temperature. The results are as follows, again with comparative triclosan-containing films and a non-antimicrobial control polyurethane film (the maximum kill rate measurable is 4.38 for these samples due to the amount of bacteria applied to the sample surfaces; thus anything above 4.38 kills at an extraordinarily high rate):

**TABLE 4**  
*Antimicrobial Results*

<u>Example</u>	<u>Log Kill Rate</u>
1	>4.38
2	>4.38
3	>4.38
4	>4.38
5	>4.38
6	>4.38
7	>4.38
8	>4.38
9	4.35
10 (Comparative)	1.05
11 (Comparative)	0.90
12 (Comparative)	0.78
Control(Comparative)	0.43

Thus, the inventive films (1-9) all exhibited excellent antimicrobial efficacy. The Comparatives were much lower as was the Control. Also, the inventive polyurethane films exhibit excellent anti-tack characteristics as well as acceptable antimicrobial properties. Furthermore, the preferred ion-exchange antimicrobial compound exhibited excellent colorations (and thus low degrees of discoloration) within the target films as well.

There are, of course, many alternative embodiments and modifications of the present invention which are intended to be included within the spirit and scope of the following claims.

100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000